

# Apex New Zealand



**We deliver the broadest range of services from a single provider across fund, financial, corporate, wealth administrative, and ESG solutions.**

## **We have offices in Auckland and Wellington with teams across:**

- **Fundrock New Zealand** – Our licensed fund hosting services
- **InvestNow** – Our New Zealand-based online investment platform provides access to KiwiSaver, managed funds, and term deposits
- **Investment administration** – Our fund administration, custodial, and registry services with an extensive team of specialists across Auckland and Wellington
- **Wealth administration** – Our wealth administration service holds, administers, and reports on a wide range of local and offshore investments for clients to have a consolidated view of investments and the convenience of having them managed in one place
- **Nexus** – Our proprietary registry software, and New Zealand's top fund administration technology. Trusted by over a million investors, Nexus enhances the client experiences with its efficient, data-connected system. Clients can leverage cutting-edge technology to enhance their in-house registry operations

## **Services**

### **Fund administration, custodial, and registry services**

- Comprehensive fund administration and transfer agency services
- Secure online investor and adviser portals
- Middle office, and added value administration services
- Fund accounting, unit pricing
- Custody services
- Fund registry services
- Has experience in servicing KiwiSaver since its inception in 2007

### **Wealth administration**

- Investment administration
- Portfolio reporting
- Custodial services
- Bulk trade functionality
- Electronic trading
- Foreign Investment Fund (FIF) and Portfolio Investment Entities ("PIE") tax
- Fulfilment services, web portals, Application Programming Interfaces (APIs)

### **Licensed fund hosting services**

- MIS licensed
- Investment managers access to provide fund solutions to New Zealand investors (under PIE tax regime)

### **Fund execution**

- New Zealand fund managers maintain their own MIS license and responsibilities
- Outsourced fund establishment and/or ongoing operations
- Issue and manage funds for clients on behalf of the client as a fund host

### **ESG and sustainability**

- Foundation, compliance, assessment and reporting
- Strategy and transformation
- Climate and carbon services

## **New Zealand offices**



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## Reputation

The New Zealand political system is stable. New Zealand is an independent, unitary, parliamentary democracy. Following the Westminster system, the government is elected by and accountable to the parliament.

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## Legal

The New Zealand legal system is based on the English Common Law. It operates under a framework that includes statutes enacted by the parliament and judicial decisions that interpret these laws.

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## Economy

New Zealand boasts consistent economic growth and prosperity. Key sectors include agriculture, tourism and services. The economy benefits from a strong trade network and stable political environment.

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## Regulation

New Zealand has a highly regulated funds management industry.

Financial Markets Authority ("FMA") is the government agency responsible for acting as the market conduct regulator of New Zealand's capital markets and financial services.

The FMA has oversight over AML/CTF laws. Fund managers provide financial services under a License issued by the FMA. An FMA license allows managers to give advice, distribute products, and operate a managed investment scheme (Fund).

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## Why Apex Group?

We are dedicated to driving positive change in financial services while supporting the growth and ambitions of asset managers, allocators, financial institutions, and family offices. For over two decades, we have continually disrupted the industry through our investment in innovation and talent. Today, we set the pace in fund and asset servicing and stand out for our unique single-source solution and unified cross asset-class platform which supports the entire value chain, harnesses leading innovative technology, and benefits from cross-jurisdictional expertise delivered by a long-standing management team and over 13,000 highly integrated professionals.

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## Tax

New Zealand offers a unique tax structure for PIEs. PIE income is taxed at the prescribed investor rate (PIR), which is dependent on the investor income level. The maximum tax rate for PIEs is generally lower than the personal income tax rate, making it attractive option for investors. The rates range from 10.5% to 28%.

The PIE system is straightforward and reduces administrative complexity, both for investor and fund managers.

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## Fund structures

New Zealand offers a suite of fund structures including corporate structures, trust structures, superannuation, and limited partnerships which covers wholesale, retail, and institutional markets.

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## KiwiSaver

KiwiSaver is a type of managed fund structure in New Zealand. It is a voluntary savings scheme created by the New Zealand government in 2007, designed to help New Zealanders save for retirement.

- 3 + million FSC KiwiSaver Members\*
- NZ \$121.9 billion funds managed\*
- 16.8% increase in funds under management in the 12 months to December 31\*

\*FSC News | Industry Statistics

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## Scale gives us perspective, gives you reach

**\$3.4trn+**  
Assets serviced

**13,000+**  
Employees

**20+**  
Years in business

**52**  
Countries