

# Apex Luxembourg

Enabling global fund distribution  
from Europe's leading hub



**Luxembourg is our largest service location empowering clients' ambitions around the world. Our strong local footprint underscores a long term commitment to the world's most diverse fund ecosystem and one of the leading cross border distribution centres outside the United States. It is also the home of our single source solution, supported by over 1,000 employees.**

## Apex Luxembourg's place within the industry

One of the key factors contributing to Luxembourg's success as a domicile of choice lies in its history; Luxembourg was the first EU member state to transpose the 1985 UCITS Directive and has since remained a first mover in implementing subsequent UCITS reforms, reinforcing its role as a leading cross border distribution hub. Supported by a strong distribution infrastructure, including UCITS and AIFMD passporting and MiFID licensed capabilities, we work in close partnership with our clients to offer a highly automated single source solution that helps mitigate risk and enables integrated processing of complex relationships. Apex Luxembourg was established in 2010, obtaining Professional of the Financial Sector (PFS) status in April that year and falling under the supervision of the Commission de Surveillance du Secteur Financier (CSSF).

## Fund administration and corporate services

- Fund and portfolio accounting
- Regulatory reporting
- Directorship and corporate secretarial services
- Registered office
- Relationship management
- Middle office solutions
- Transaction management and liquidation
- FATCA and CRS services
- Accounting services
- Domiciliation and management
- Treasury management

## Banking, depositary and custody solutions

### Banking services

- Institutional accounts and provision of bank accounts for SPVs
- Brokerage – equity, bonds, funds, certificates, derivatives, foreign exchange
- Cayman trust custody solutions
- FX Services – spot and forward
- Cash management
- Financial solutions – including bridge financing and short-term overdrafts

### Depositary services

- Safekeeping and verification of documentation and assets
- Trustee / fund safe custody services
- Maintenance of comprehensive asset registers
- Operational oversight; timely settlement and ensuring compliance with fund documents and applicable laws and regulations
- Cash monitoring and document tracking
- AIFMD and UCITS reporting and liquidity monitoring

### Custody services

- Safekeeping
- Transaction processing and settlements
- Income collection
- Tax services
- Proxy services
- Cash management
- Corporate actions and FX
- Securities lending
- Financial solutions

Apex Luxembourg is the optimal platform for distributing funds worldwide. If you are a fund manager seeking a flexible solution, we provide a full range of service capabilities tailored to your needs as a promoter. We simplify a complex regulatory landscape and enhance operations across your business through advanced technology platforms. Our full value chain support and cross-jurisdictional expertise are centred in Luxembourg, enabling us to deliver personal service in your time zone, speaking your language.

## We are registered to provide services to:

- UCITS funds and regulated alternative investment funds (AIF/ SIF)
- AIFMD compliant reserved investment funds (RAIF)
- Investment companies with variable and fixed capital (SICAV/ SICAF) as well as fonds commun de placement (FCP)
- Luxembourg special limited partnerships (SCPs)
- General partner and other special purpose vehicles (SPVs) such as holding companies
- Securitisation platforms

## Management company ("ManCo")

As the largest third-party ManCo in Luxembourg, we provide:

- Structuring and platform solutions
- "White label" super ManCo (UCITS)
- Rent a ManCo/ AIFM
- AIFM oversight
- Portfolio and risk management
- Trading desk
- Regulatory reporting
- Incubation solutions

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## Stand-alone funds

### Definition

A stand-alone fund can be set up with a single investment portfolio or can be structured as an umbrella fund with multiple compartments, which creates separate sub-funds under the roof of a single legal entity.

The legal entity will either be self-managed or be supported by a management company for the exclusive use of a single investment manager.

### Advantages

- Retain full branding responsibility
- Board of directors representation
- Ability to leverage existing tools and processes for investment management

### Disadvantages

- Higher costs and slower to market
- Requires greater assets to be economically viable
- Requires local substance in addition to a depositary bank, central administrator, lawyer, and auditor
- Requirement to be a regulated investment manager

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## Platform-based funds

### Definition

Platform-based funds are structured as a simple fund or as an umbrella fund with multiple compartments, which creates separate sub-funds under the roof of a single legal entity.

The legal entity will either be a management company or AIFM.

Each sub-fund may be managed by different investment managers.

### Advantages

- A lower cost solution and short time to market
- The ability to leverage established substance of the management company/ AIFM including depositary bank, central administrator, lawyer, and auditor
- A lower asset gathering entry point
- The requirement to be an investment manager may be optional

### Disadvantages

- Co-branding with the management company
- No board of director representation
- May need to comply with the management company / AIFM tools, processes and standards

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## Why Luxembourg

Luxembourg is Europe's leading investment fund hub and the domicile of choice for asset managers worldwide. It combines a highly developed legal and regulatory framework with strong investor protection, innovation, and flexibility in fund design. A pioneer in both UCITS and non-UCITS regulation, Luxembourg supports one of the world's most diverse fund ecosystems, spanning traditional and alternative asset classes - from equity, bond and money market funds to private equity, real estate, infrastructure, debt and hedge strategies. With more than €5 trillion in cross-border assets under management, political stability, international orientation, and regulatory excellence continue to reinforce Luxembourg's position as a global centre for fund distribution and innovation.

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## The future of fund servicing

We are pioneering smarter, faster, and more accessible markets through AI enabled services and blockchain powered infrastructure that streamlines distribution. Our digital solutions support tokenised funds, digital asset securities, and compliant systems for next generation financial products.

We provide innovative digital products and services

- Tokenised funds and digital asset securities
- Blockchain based transfer agency
- White labelled digital ETPs

Apex Luxembourg is the first in the country to administer regulated securities using blockchain and has been recognised with industry awards in both 2024 and 2025 for its leadership in digital fund services.

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## Why Apex Group?

We are dedicated to driving positive change in financial services while supporting the growth and ambitions of asset managers, allocators, financial institutions, and family offices. For over two decades, we have continually disrupted the industry through our investment in innovation and talent. Today, we set the pace in fund and asset servicing and stand out for our unique single-source solution and unified cross asset-class platform which supports the entire value chain, harnesses leading innovative technology, and benefits from cross-jurisdictional expertise delivered by a long-standing management team and over 13,000 highly integrated professionals.

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## Scale gives us perspective, gives you reach

**\$3.5trn+**  
Assets serviced

**13,000+**  
Employees

**20+**  
Years in business

**52**  
Countries