



As a leading global financial services provider, our extensive footprint in the UK demonstrates our strength and ability to service asset managers, corporates, family offices, and financial institutions with services tailored to individual clients' needs.

Why partner with us?

- Most comprehensive range of services available in the market from a single provider
- Fully connected technology
- Single point of contact for all your services
- Competitive pricing

Apex UK services

Fund services

- Fund administration and investor services
- Loan administration
- Regulatory reporting
- Middle office solutions
- Global compliance services

Banking, depositary, and custody services

- Pioneering digital corporate banking platform
- Depositary and custody services
- Brokerage
- Institutional accounts and provision of bank accounts for Special Purpose Vehicles ("SPVs")
- FX services

Corporate solutions

- Regulatory and compliance
- Outsourced Chief Financial Officer (CFO) / Chief Operating Officer (COO) services
- Pension and trustee services
- Escrow and process agent
- Loan agency
- SPV administration
- HR and payroll
- Tax and VAT
- Project finance and securitisation
- Establishment, domiciliation, and dissolution services
- Governance and secretarial

ESG and sustainability

- Foundation and compliance
- Assessment and reporting
- Strategy and transformation

ManCo services

FundRock Partners*, an Apex Group company is regulated by the Financial Conduct Authority ("FCA") and can provide the following services:

- Authorised Corporate Director (ACD) services to open-ended investment companies
- Authorised Fund Manager (AFM) services to unit trusts, and operator services to Authorised Contractual Schemes (ACS)
- Alternative Investment Fund Manager (AIFM) services to listed funds
- Post trade compliance monitoring services (Collective Investment Schemes sourcebook (COLL) and prospectus limits)
- European Securities and Markets Authority (ESMA) compliant liquidity monitoring reporting
- Anti-Money Laundering (AML) / Know Your Customer (KYC) and Client Asset Sourcebook (CASS) oversight

*FundRock Partners Limited, is registered in England and Wales under the number 4162989. Registered Office: Hamilton Centre, Rodney Way, Chelmsford, CM1 3BY. FundRock Partners Limited is authorised by the Financial Conduct Authority in United Kingdom.

UK's place within the industry

The UK is one of the world's leading international financial centres, with a dynamic and diverse financial services industry. The UK's financial sector is supported by a robust legal environment and a highly experienced workforce, providing comprehensive services for both onshore and offshore funds. The UK is the second-largest asset management location outside the US, accounting for around £9.1 trillion of assets managed in 2023* and a tried and tested fund domicile for investors from all key global markets, offering a stable and innovative environment for financial services.

Legal

The legal environment in the UK is well-established, with numerous internationally recognized law firms offering a comprehensive suite of services for the finance industry. The UK's financial services sector is governed by a robust regulatory framework, including the Financial Services and Markets Act 2023, which aims to deliver a smarter regulatory framework tailored to the UK. Fund regimes are regularly tested through the UK courts, ensuring compliance and stability.

Why Apex Group

We are dedicated to driving positive change in financial services while supporting the growth and ambitions of asset managers, allocators, financial institutions, and family offices. For over two decades, we have continually disrupted the industry through our investment in innovation and talent. Today, we set the pace in fund and asset servicing and stand out for our unique single-source solution and unified cross asset-class platform which supports the entire value chain, harnesses leading innovative technology, and benefits from cross-jurisdictional expertise delivered by a long-standing management team and over 13,000 highly integrated professionals.

Reputation

Over the decades, the UK has developed a robust infrastructure and accumulated significant intellectual capital, ensuring it remains at the forefront of global financial centres. The UK's financial services sector is renowned for its resilience and adaptability, even amidst political and economic uncertainties. Fund business can be transacted with confidence in the UK, supported by a strong legal framework and comprehensive regulatory environment.

Regulation

The UK's regulatory framework for the finance industry is robust yet pragmatic, ensuring investor protection while avoiding unnecessary complexity. The Financial Conduct Authority (FCA) and the Prudential Regulation Authority (PRA) work closely with the industry to keep the regulatory regime evolving and meeting investors' needs. The Financial Services and Markets Act 2023 aims to deliver a smarter, UK-tailored regulatory framework, replacing retained EU law. This continuity within the UK's democratic government offers political and economic stability, providing a secure environment for businesses to thrive.

Scale gives us perspective, gives you reach

\$3.4trn+

Assets serviced

13,000+

Employees

20+

Years in business

52

Countries

*Investment Management in the UK 2023-2024.pdf